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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/609,286	06/30/2000	John S. Hendricks	5219.00	2646
56015 7590 02/26/2007 PATTERSON & SHERIDAN, LLP/ SEDNA PATENT SERVICES, LLC 595 SHREWSBURY AVENUE SUITE 100 SHREWSBURY, NJ 07702			EXAMINER KE, PENG	
			ART UNIT 2174	PAPER NUMBER
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		02/26/2007	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/609,286	<b>Applicant(s)</b> HENDRICKS ET AL.	
	<b>Examiner</b> Peng Ke	<b>Art Unit</b> 2174	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 05 December 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>09/06/08</u>  | 6) <input type="checkbox"/> Other: _____                          |

### **DETAILED ACTION**

This action is responsive to communications: Amendment, filed on 12/05/06.

This action is made final.

Claims 1-27 are pending in this application. Claims 1, 9, 18, and 22 are independent claims.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 22 and 25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lanier et al. (US 5,588,104) in view of Esch et al. (US 5,283,639) further in view of Young (US 4,706,121).

As per independent claim 22, Lanier et al teaches a method for placing virtual objects into video programs at a viewer's terminal (col 2, lines 36-47), comprising:

receiving a plurality of virtual objects comprising first virtual object intended for the viewer's terminal and second virtual objects intended for other terminal (col 2, lines 47-63; It is inherent in a network environment different virtual objects are displayed on different terminals);

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receiving a video program including one or more virtual object locations, the video program including virtual object information for placement of virtual objects into the video program (col 2, lines 47-63);

comparing the virtual object information and the received virtual objects to select virtual objects for placement in the virtual object locations (col 3, lines 32- 50); and

inserting the selected virtual objects into the virtual object locations (col 2, lines 47-63).

However, Lanier fails to teach receiving through the television program delivery system, at the view's terminal, the virtual objects;

Receiving, at the viewer's terminal, a group assignment matrix and a retrieval plan for the view's terminal and the other terminal, wherein the group assignment matrix and retrieval plan are received through the television program delivery system.

Esch et al. teaches receiving through the television program delivery system, at the view's terminal, (column 11, lines 50-65, column 1, lines 30-40; it is inherent that the interactive contents created Esch's multiple media network will alternately delivered to user's terminal) the interactive programs; (column 9, lines 35-67)

Receiving, at the viewer's terminal, a group assignment matrix and a retrieval plan for the view's terminal and the other terminal, (column 11, lines 50-65, column 1, lines 30-40) wherein the group assignment matrix and retrieval plan are received through the television program delivery system. (column 1, 42-column2, lines 70)

It would have been obvious to an artisan at the time of the invention to include Esch's teaching with method of Lanier in order to allow server to distribute advertisement that is local and pertinent.

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However, both Lanier and Esch fail to teach a group assignment matrix comprises reception site grouping and program categories thereby the viewer's terminal stores information relating to the program categories of its group.

Young teaches a group assignment matrix comprises reception site grouping and program categories (column 2, lines 30-61) thereby the viewer's terminal stores information relating to the program categories of its group. (column 12, lines 45-column 13, lines 60)

It would have been obvious to an artisan at the time of the invention to include Young's teaching with method of Lanier and Esch in order to allow users to easily reduce the number of programs that they are desired to watch.

As per claim 25, which is dependent on claim 22, Lanier, Esch, and Young teach the method of claim 22. Lanier further teaches wherein the inserting step occurs during a display of the video program (col 3, lines 32- 50).

Claims 1-6, 8-14, <sup>15,</sup> ~~17~~ 21, 23, 24, 26 and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lanier et al. (US 5,588,104) in view of Lanier et al. (5,588,139; Hereafter Lanier II) further in view of Esch et al. (US 5,283,639) further in view of Young (US 4,706,121).

As per independent claim 1, Lanier et al. teaches a method for placing virtual objects in virtual object locations in a video program at a viewer's terminal (col 2, lines 36-47); comprising:

receiving a plurality of virtual objects for use with one or more of the virtual object locations (col 2, lines 47-63);and

inserting one or more of the received virtual objects into one or more of the virtual object locations during a display or storage of the video program at the viewer's terminal (col 3, lines 32- 50).

However Lanier et al. doesn't teach storing the virtual objects in the viewer's terminals.

Lanier II (5,588,139) teaches storing the virtual objects in the viewer's terminals (paragraph, 30). It would have been obvious to an artisan at the time of the invention to include Lanier II (5,588,139)'s teaching with Lanier et al. in order to reduce network traffic.

However, they both fail to teach receiving from the television program delivery system, at the view's terminal, the virtual object;

Receiving, at the viewer's terminal, a group assignment matrix and a retrieval plan for the view's terminal and the other terminal, wherein the group assignment matrix and retrieval plan are received through the television program delivery system.

Executing the retrieval plan at the viewer's terminal to instruct, based on the group assignment matrix, the viewers terminal to select one or more of the plurality of virtual objects.

Esch et al. teaches receiving through the television program delivery system, at the view's terminal, (column 11, lines 50-65, column 1, lines 30-40; it is inherent that the interactive contents created Esch's multiple media network will alternately delivered to user's terminal) the interactive programs; (column 9, lines 35-67)

Receiving, at the viewer's terminal, a group assignment matrix and a retrieval plan for the view's terminal and the other terminal, (column 11, lines 50-65, column 1, lines 30-40) wherein the group assignment matrix and retrieval plan are received through the television program delivery system. (column 1, 42-column2, lines 70)

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Executing the retrieval plan at the viewer's terminal to instruct, based on the group assignment matrix, the viewers terminal to select one or more of the plurality of virtual objects.

(Abstract)

It would have been obvious to an artisan at the time of the invention to include Esch's teaching with method of Lanier in order to allow server to distribute advertisement that is local and pertinent.

However, Lanier, Lanier II and Esch fail to teach a group assignment matrix comprises reception site grouping and program categories thereby the viewer's terminal stores information relating to the program categories of its group.

Young teaches a group assignment matrix comprises reception site grouping and program categories (column 2, lines 30-61) thereby the viewer's terminal stores information relating to the program categories of its group. (column 12, lines 45-column 13, lines 60)

It would have been obvious to an artisan at the time of the invention to include Young's teaching with method of Lanier, Lanier II and Esch in order to allow users to easily reduce the number of programs that they are desired to watch.

As per claim 2, which is dependent on claim 1, Lanier, Lanier II, Esch and Young teach the method of claim 1, Lanier ('104) further teaches the method wherein the step of inserting comprises:

selecting a specific virtual object from the one or more virtual objects (col 2, lines 63-67, col 3, lines 1-5).

As per claim 3, which is dependent on claim 1, Lanier, Lanier II, Esch and Young teach the method of claim 2, Lanier ('104) further teaches the method comprising recording virtual objects watched data at the viewer's terminal (col 3, lines 5-20).

As per claim 4, which is dependent on claim 3, Lanier, Lanier II, Esch and Young teach the method of claim 4, Lanier ('104) further comprising adjusting the selecting step based on the recorded virtual objects watched data (col 3, lines 5-20).

As per claim 5, which is dependent on claim 1, Lanier, Lanier II, Esch and Young teach the method of claim 1, Lanier ('104) further teaches method comprising

receiving updated virtual objects at the viewer's terminal (col 3, lines 32- 50); and

Lanier et al. ('139) teaches storing the updated virtual objects in the viewer's terminal (paragraph, 30).

As per claim 6, which is dependent on claim 1, Lanier, Lanier II, Esch and Young teach the method of claim 1, Lanier et al. ('104) further teaches the method wherein at least one virtual object is an interactive virtual object including a link to a location remote from the viewer's terminal, further comprising:

receiving an activation of the interactive virtual object; and

connecting the viewer's terminal to the remote location (col 4, lines 1-14).

As per claim 8, which is dependent on claim 1, Lanier, Lanier II, Esch and Young teach the method of claim 1, Lanier ('104) further teaches the method wherein the viewer's terminal is one of a set top terminal, a television, a personal computer, a satellite television receiver, a wireless telephone, an electronic book reader, and a PDA device (col 2, lines 17-25).

As per independent claim 9, it is rejected with the same rationale as claim 1. Supra.



As per claim 10, which is dependent on claim 9, Lanier, Lanier II, Esch and Young teach the terminal of claim 9, Lanier ('104) further teaches wherein the video programs include a virtual object placement plan, the processor comprising a comparison module that compares the virtual object placement plan and the stored virtual objects to determine a specific virtual object for placement in a specific virtual object location (Lanier et al. col 2, lines 63-67, col 3, lines 1-5, Lanier et al ('139). paragraph 30).

As per claim 11, Lanier et al. ('139) teaches the terminal of claim 10, wherein the virtual object placement plan is stored in the memory (paragraph, 30).

As per claim 12, which is dependent on claim 9, it is of same scope as claim 5. (see rejection above)

As per claim 13, which is dependent on claim 9. Lanier, Lanier II, Esch and Young teach the terminal of claim 9. However Lanier et al. doesn't teach wherein the processor comprises a virtual objects watched module that determines virtual objects watched at the terminal, the virtual objects watched data stored in the memory. Lanier et al. ('139) teaches a terminal wherein the processor comprises a virtual objects watched module that determines virtual object watched at the terminal (paragraph, 17), the virtual objects watched data stored in the memory (paragraph, 30). It would have been obvious to an artisan at the time of the invention to include Lanier et al. (5,588,139)'s teaching with the modified Lanier et al. and Esch in order to reduce network traffic.

As per claim 14, which is dependent on claim 13, Lanier et al. and Esch teach the terminal of claim 13, wherein the processor adjusts the virtual object placement plan based on the stored virtual objects viewed data (col 3, lines 32- 50).

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As per claim 15, which is dependent on claim 9, it is of the same scope as claim 6. (see rejection above)

As per claim 17, which is dependent on claim 9, it is of the same scope as claim 8. (see rejection above).

As per independent claim 18, it is rejected with the same rationale as claim 1. Supra.

As per claim 19, which is dependent on claim 18, Lanier, Lanier II, Esch and Young teach the method of claim 18, Lanier ('104) further teaches the method wherein a viewer receives virtual objects for display based on viewer information including one or more of programs watched data, virtual objects watched data, viewer demographic data, and viewer entered data (col 3, lines 5-20).

As per claim 20, which is dependent on claim 18, it is of the same scope as claim 13. (see rejection above)

As per claim 21, which is dependent on claim 18, Lanier, Lanier II, Esch and Young teach the method of claim 18, Lanier ('104) further teaches wherein the video program is stored and the inserting step occurs while the video program is stored (paragraph, 30).

As per claim 23, which is dependent on claim 22, it is of the same scope as claim 1. (see rejection above)

As per claim 24, which is dependent on claim 22, it is of the same scope as claim 21. (see rejection above).

As per claim 26, which is dependent on claim 22, Lanier, Lanier II, Esch and Young teach the method of claim 22. However Lanier et al. ('104) doesn't teach wherein the inserting step occurs during receipt of the video program. Lanier et al. ('139) teaches a method wherein

the inserting step occurs during receipt of the video program (paragraph, 30). It would have been obvious to an artisan at the time of the invention to include Lanier et al. ('139)'s teaching with the modified Lanier et al. in order to reduce network traffic.

As per claim 27, which is dependent on claim 22, Lanier, Lanier II, Esch and Young teach the method of claim 22. However Lanier et al ('104) doesn't teach wherein the video program is displayed multiple times at the viewer's terminal, and wherein virtual objects inserted into the video object locations vary with one or more of the multiple displays of the video program. Lanier et al. ('139) teaches wherein the video program is displayed multiple times at the viewer's terminal, and wherein virtual objects inserted into the video object locations vary with one or more of the multiple displays of the video program (paragraph, 20). It would have been obvious to an artisan at the time of the invention to include Lanier II's teaching with the modified Lanier et al. in order to create the appearance and reactions of virtual environment and virtual participants are entirely within the control of the user.

Claims 7 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lanier et al. (US 5,588,104) in view of Lanier et al. (US 5,588,139) further in view of Esch et al. (US 5,283,639) further in view of Young (US 4,706,121) further in view of de Hond (US 5,737,533).

As per claim 7, which is dependent on claim 6, Lanier, Lanier II, Esch and Young teach the method of claim 6. However they fail to teach the method wherein the remote location is an Internet web site. De Hond teaches the method wherein the remote location is an Internet web site (col 5, lines 58-60). It would have been obvious to an artisan at the time of the invention to include de Hond's teaching with Lanier, Lanier II, Esch and Young in order to provide the users with more information regarding the subject.

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As per claim 16, which is dependent on claim 15, it is of the same scope as claim 7. (see rejection above)

***Response to Argument***

Applicant's arguments filed on 12/05/06 have been fully considered but they are not persuasive.

Applicant's arguments focused on the following:

1) Lanier fails to teach or suggest receiving a video program including one or more virtual object location because Lanier teaches the virtual objects viewed in static model.

2) Lanier fails to teach or to suggest a plurality of virtual object comprising first virtual object intended for the viewer's terminal and second virtual objects intended for other terminal.

3) Esch fails to teach receiving a group assignment matrix and a retrieval plan at a viewer's terminal, wherein the group assignment matrix comprises reception site groupings and program categories thereby the receiver stores information relating to the program categories of its group.

Examiner disagrees.

1) Lanier teaches this limitation. Although Lanier teaches a static model, it also dynamically changes this model with relocation of virtual objects' position in the model. (column 5, lines 1-10) Therefore Lanier teaches a video program including one or more virtual object location.

2) Lanier teaches this limitation. Since virtual objects' position in the model changes based on users input, two different user inputs would cause a virtual object to appear in one position at one terminal, and another position at another terminal. (column 5, lines 1-10)

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3) Esch teaches this limitation because each of its remote viewer's terminals has its own matrix switch, (column 5, lines 1-8) and the matrix signals sent by the communications satellite must first be deciphered through these matrix switches before they can be displayed to the user. (column 9, lines 35-66)

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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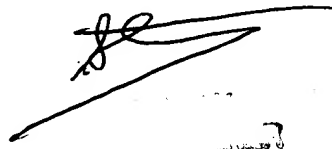
***Contact Information***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Peng Ke whose telephone number is (571) 272-4062. The examiner can normally be reached on M-Th and Alternate Fridays 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kristine L. Kincaid can be reached on (571) 272-4063. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Peng Ke

A handwritten signature in black ink, appearing to be 'Peng Ke', with a long horizontal stroke extending to the right.